

# DEPARTMENT OF DEVELOPMENTAL SERVICES

## PROGRAM SERVICES BRANCH . PROGRAM ADVISORY

PSB 01-2

April 18, 2001

## WHY ARE SUPPORTED LIVING SERVICE HOMES NOT LICENSED?

#### Background

This program advisory has been prepared in response to concerns about what are, essentially, unlicensed facilities that call themselves Supported Living Service (SLS) homes, and to provide a guide to the differences among Community Care Facilities, Independent Living, and Supported Living arrangements. Section 1505(1) of the California Health & Safety Code exempts "any supported living arrangement...as defined in Section 4689 of the Welfare and Institutions Code" from Community Care Licensing (CCL) requirements. What is it about the homes of SLS consumers that justifies this exemption.

## The Consumer Controls the Home

The licensing exemption exists because the SLS consumer exercises legal and functional control of the premises. This is the fundamental distinction between SLS and licensed community living options. In light of this fact, there can be no more justification for licensing a consumer's private home than for licensing the homes and apartments of people without disabilities. Both the law and regulations make this clear.

### What the Lanterman Act Says

Section 4689 of the Lanterman Act refers to "homes that [SLS consumers] own or lease"; "opportunities for adults to live in their own homes"; and "living arrangements which are typical of those in which persons without disabilities reside." [Italics added.] This intended meaning of "their own homes" is clarified by further requirements that the consumer must 1) guide decisions about where and with whom to live; 2) control the home environment; and 3) not have to move when changes in services and supports occur. This describes a "home" that is under both the legal and operational control of the consumer, in every sense that would apply to any independent adult without disabilities.

## The SLS "Home" in the Title 17 Regulations

In implementing the intent of the Lanterman Act, the Department of Developmental Services' Title 17 Supported Living Service Regulations define the term "home" as a place "which is neither a community care facility, health facility, nor a family home certified by a Family Home Agency, and in which no parent or conservator of the consumer resides, and which a consumer chooses, owns or rents, controls, and occupies as a principal place of residence." As does the law, the regulatory definition focuses on the consumer's control as both legal in form ("owns or rents") and operational ("controls") in content.

### Summary: Assuring the SLS Home's Integrity

Regional centers have primary responsibility to assure that the homes of SLS consumers comply with the intention in statute and regulations, and are not in reality, facilities operating without a license. The absence of CCL jurisdiction over Supported Living Arrangements heightens the responsibility to assure that consumers have not only a formal right of control, but a functional management right that is exercised over the home in daily practice.

For additional information, please contact Marvin Brienes, Services and Supports Section, at 916-654-1553, or at mbrienes@dds ca.gov.

| -                        | The Essential Supported Living Arrangement Home:<br>A Checklist of Similarities and Differences Among Service Types |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|--------------------------|---------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Criterion Service Type / |                                                                                                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 1 ;                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
|                          |                                                                                                                     | Supported Living                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Independent Living                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | . Residential Facility                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|                          | Regulatory<br>Requirements                                                                                          | Department of Developmental<br>Services, Chapter 3,<br>Subchapter 19.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Department of Developmental<br>Services, Chapter 3, Subchapter 5.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | Set by Department of Social Services<br>(Licensing) and Department of<br>Developmental Services, Chapter 3,<br>Subchapter 4.                                                                                                                                                                                                                                                                                                                                                                        |
|                          | Service<br>Definition                                                                                               | "Supported Living<br>Service(s)(SLS) " means those<br>services and supportswhich<br>are provided by a SLS vendor,<br>paid for by the regional<br>center, and support<br>consumers' efforts to: (A)<br>Live in their own homes, as<br>defined in Title 17, Section<br>58601(a)(3); (B) Participate<br>in community activities to the<br>extent appropriate to each<br>consumer's interests and<br>capacity; and (C) Realize their<br>individualized potential to live<br>lives that are integrated,<br>productive, and normal;<br>Title 17, §54302(a)(66) | "Independent Living Program" means<br>a community-based day program that<br>provides to adult consumers the<br>functional skills training necessary to<br>secure a self-sustaining, independent<br>living situation in the community<br>and/or may provide the support<br>necessary to maintain those skills.<br>Independent living programs focus on<br>functional skills training for adult<br>consumers who generally have<br>acquired basic self-help skills and<br>who, because of their physical<br>disabilities, do not possess basic<br>self-help skills, but who employ and<br>supervise aides to assist them in<br>meeting their personal needs"<br>Title 17, §54302(a)(31) | "Facility" means a licensed<br>community care facility as defined in<br>Health and Safety Code section<br>1502(a)(1), (4), (5) or (6); or a<br>licensed residential care facility for<br>the elderly as defined in Health and<br>Safety Code section 1569.2(k), which<br>has been vendorized as a residential<br>facility by a regional center pursuant<br>to the requirements of Title-17,<br>California Code of Regulations,<br>Division 2, Chapter 3, Subchapter 2."<br>-Title 17, §56002(a)(15) |
|                          |                                                                                                                     | [Service Code: 896]                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | [Service Code 520]                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | [Service Code Owner Operated - 905]<br>[Service Code Staff Operated - 915]:                                                                                                                                                                                                                                                                                                                                                                                                                         |
|                          | Community<br>Care Facility<br>Licensing                                                                             | Specifically exempted from<br>Community Care Licensing<br>pursuant to Health and Safety<br>Code Section 1505(1).                                                                                                                                                                                                                                                                                                                                                                                                                                         | No licensing required. Considered a community-based day program that does not use a facility for its activities or training.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | Licensing and monitoring by<br>Department of Social Services and<br>subject to Community Care Licensing<br>regulations.                                                                                                                                                                                                                                                                                                                                                                             |
| 4                        | Relationship<br>between<br>Services <sup>-</sup> and<br>Housing                                                     | No connection between<br>delivery of services and<br>control of housing. Consumer<br>may change providers without<br>having to move from<br>residence.                                                                                                                                                                                                                                                                                                                                                                                                   | Varies from integral relationship to no<br>relationship, depending on whether<br>consumer lives in provider-controlled<br>housing or in family home of own<br>home. Consumer may or may not<br>have to change residence if desiring to<br>change provider.                                                                                                                                                                                                                                                                                                                                                                                                                            | Integral relationship. Facility license<br>is issued to owner/operator who is<br>accountable for providing care and<br>supervision. Consumer must move<br>from facility to receive services of a<br>different provider, unless ownership<br>of facility changes.                                                                                                                                                                                                                                    |
|                          | Extent of<br>Consumer<br>Control over<br>Residence                                                                  | Same as exercised over a<br>home by a homeowner or<br>renter without disabilities.                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Varies, according to provider<br>relationship to housing, and whether<br>housing is consumer's family home or<br>own home.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | The licensee must meet certain<br>regulatory requirements that may<br>include some restrictions on<br>consumer's alteration and<br>personalization of space, roommate<br>choice, and control over entry to the<br>facility.                                                                                                                                                                                                                                                                         |
| Y                        | Consumer<br>vendorization<br>for own<br>service<br>provision                                                        | Allowed if consumer meets vendorization réquirements.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Prohibited.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Prohibited.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |

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